

# Active Learning and Transfer Learning for Process Analytics

Chen Song, Ruomu Tan, Marco Gärtler, Martin Hollender, Sylvia Maczey (ABB) & Franz Baehner (Bayer) & Bram Bamps (Covestro)

25.05.2023

Supported by:

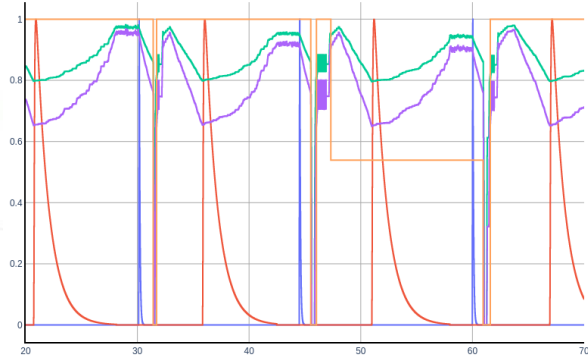




Federal Ministry  
for Economic Affairs  
and Energy




on the basis of a decision  
by the German Bundestag






KE-3N



 Mike's mum had 4 kids; 3 of them are Luis, Drake and Matilda. What is the name of 4th kid? 

 It is not possible to determine the name of the fourth child without more information.  

 The answer is in the question 

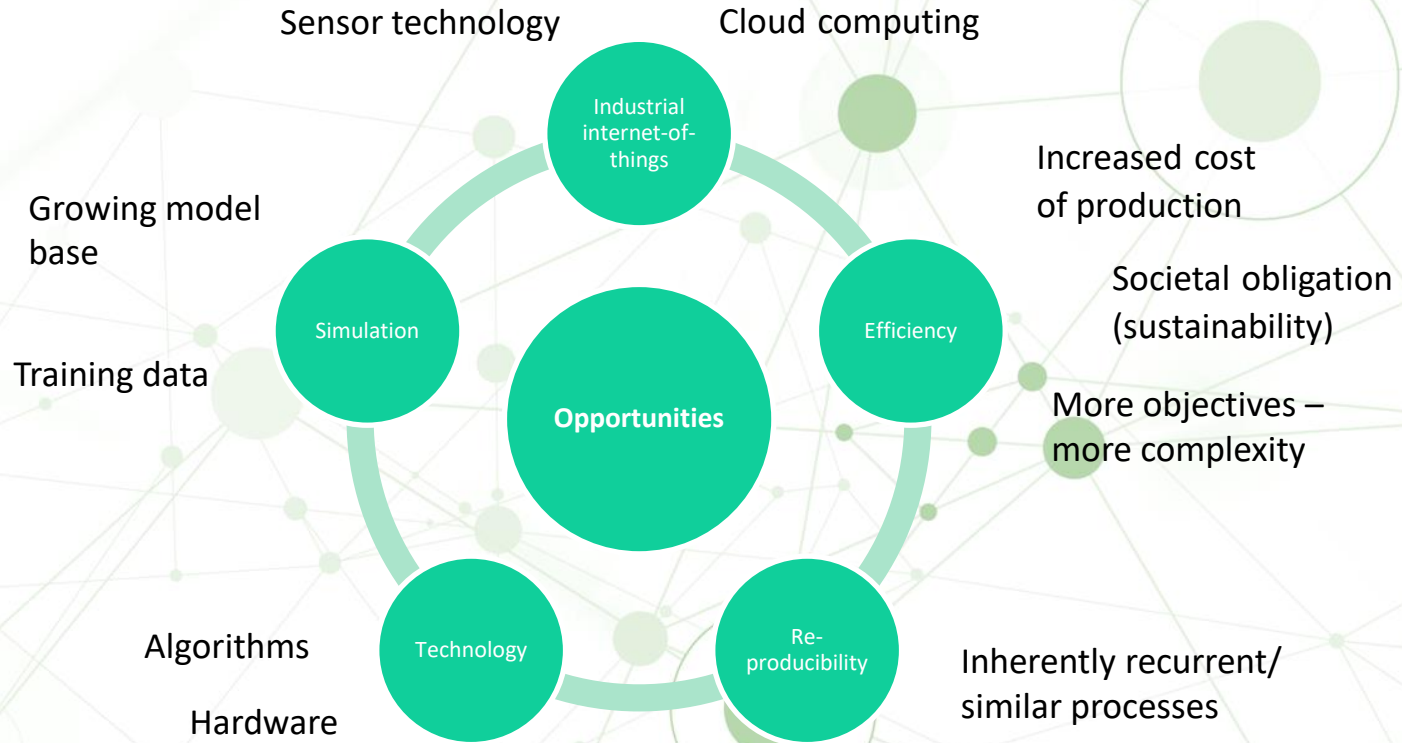
 I apologize for the confusion. It is not possible to determine the name of the fourth child based on the information provided in the

# Process data analytics with ML

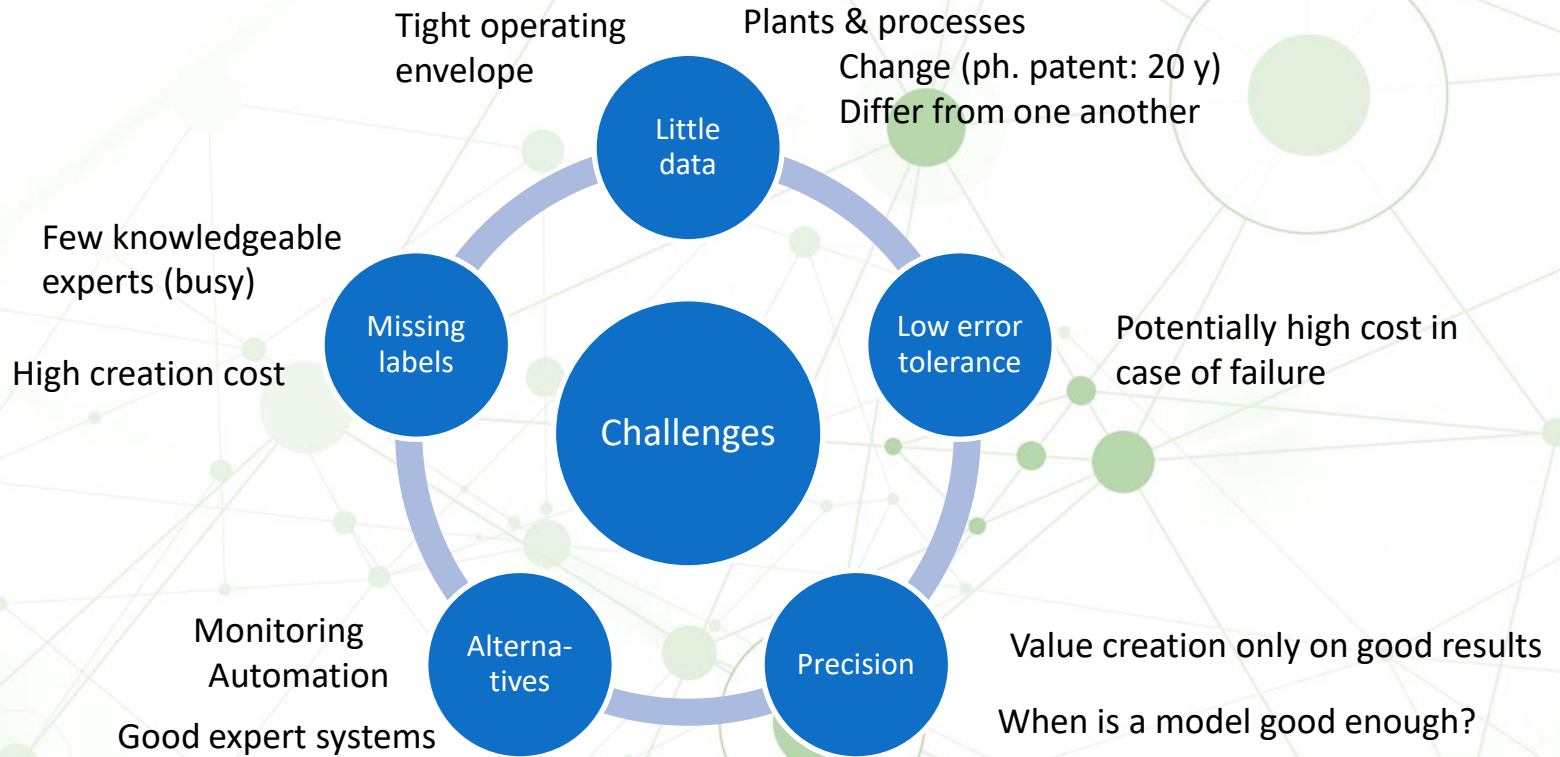
“A fool is very dangerous when in power.”

Denis Fonvizin

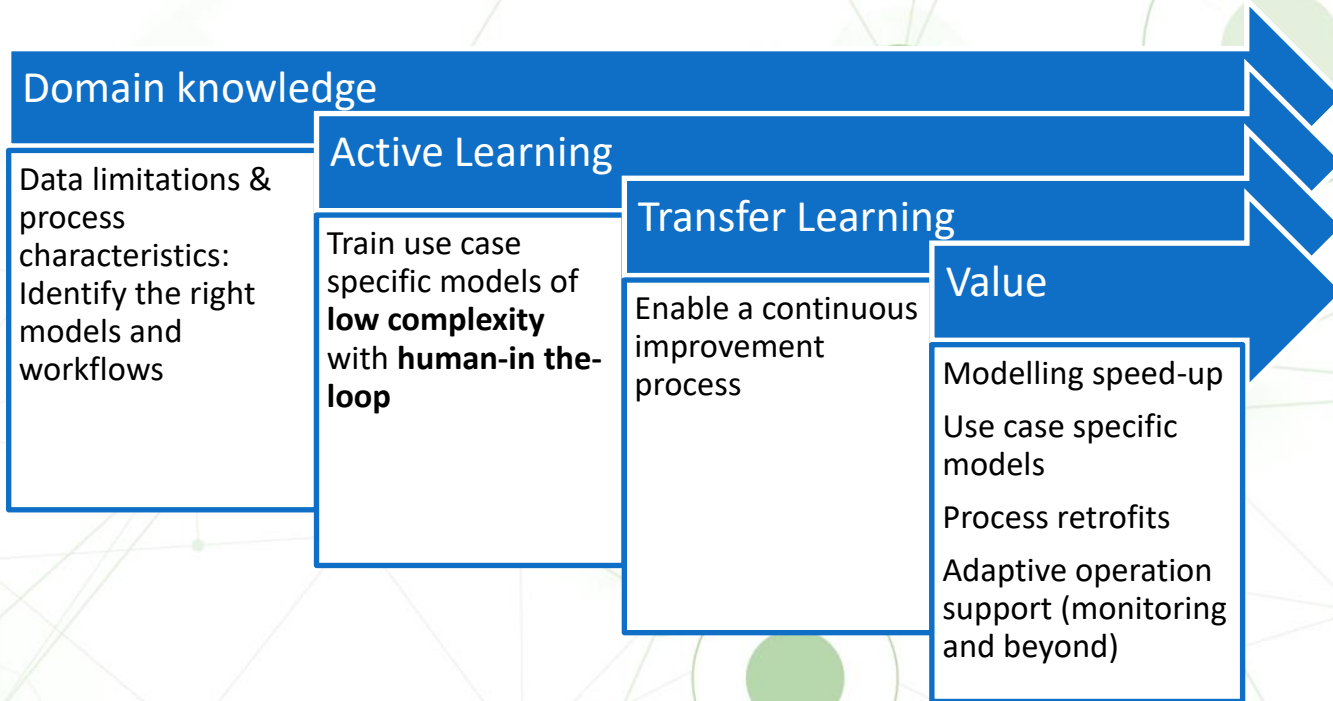
# ML for process data analytics

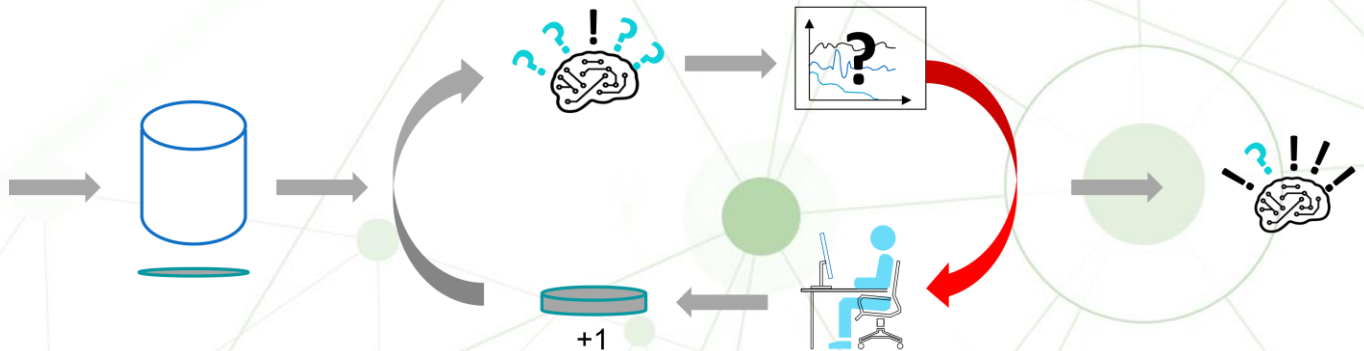


# ML for process data analytics



# Motivation

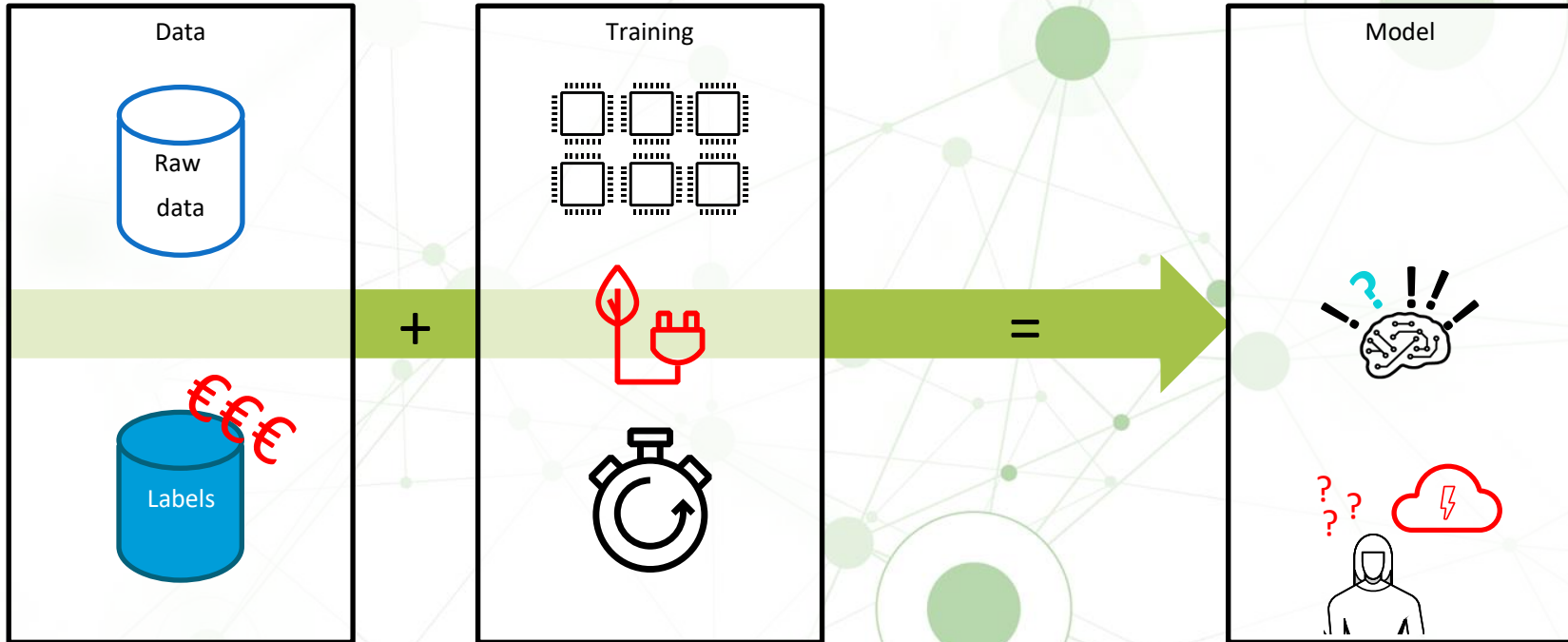




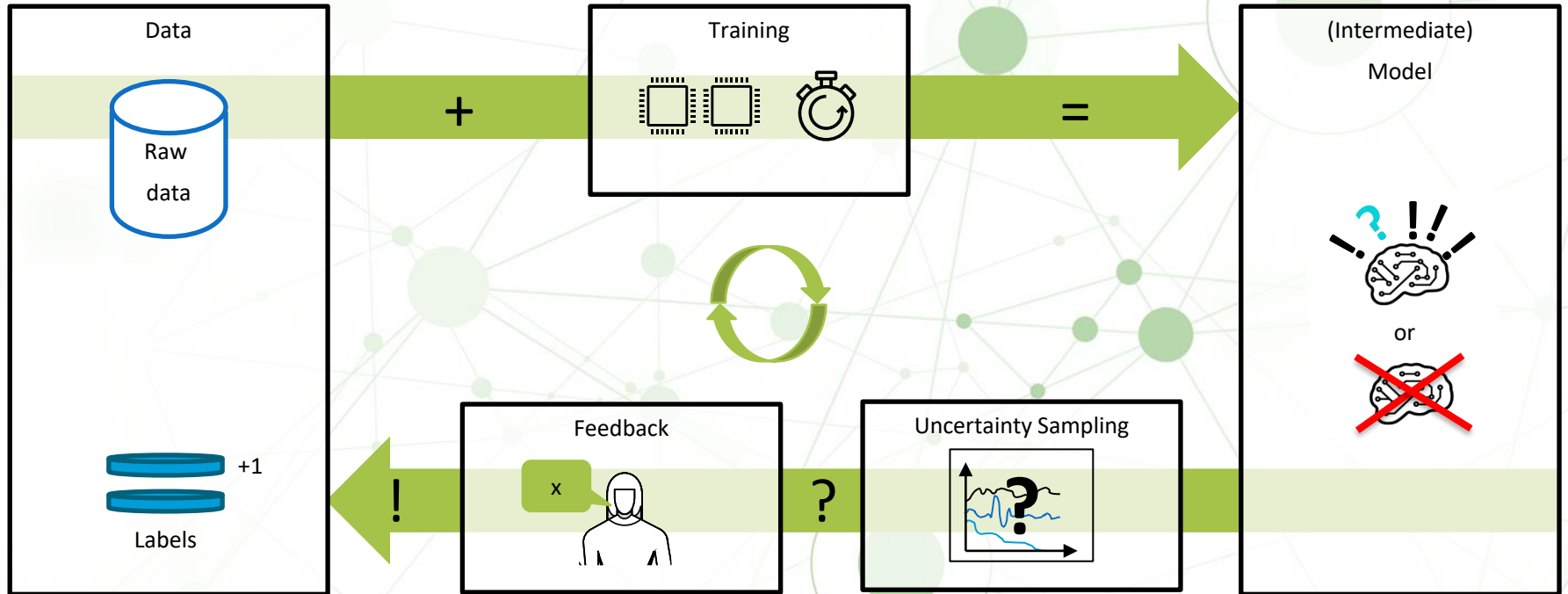
# Technical background

Brief overview of methods

# Traditional ML

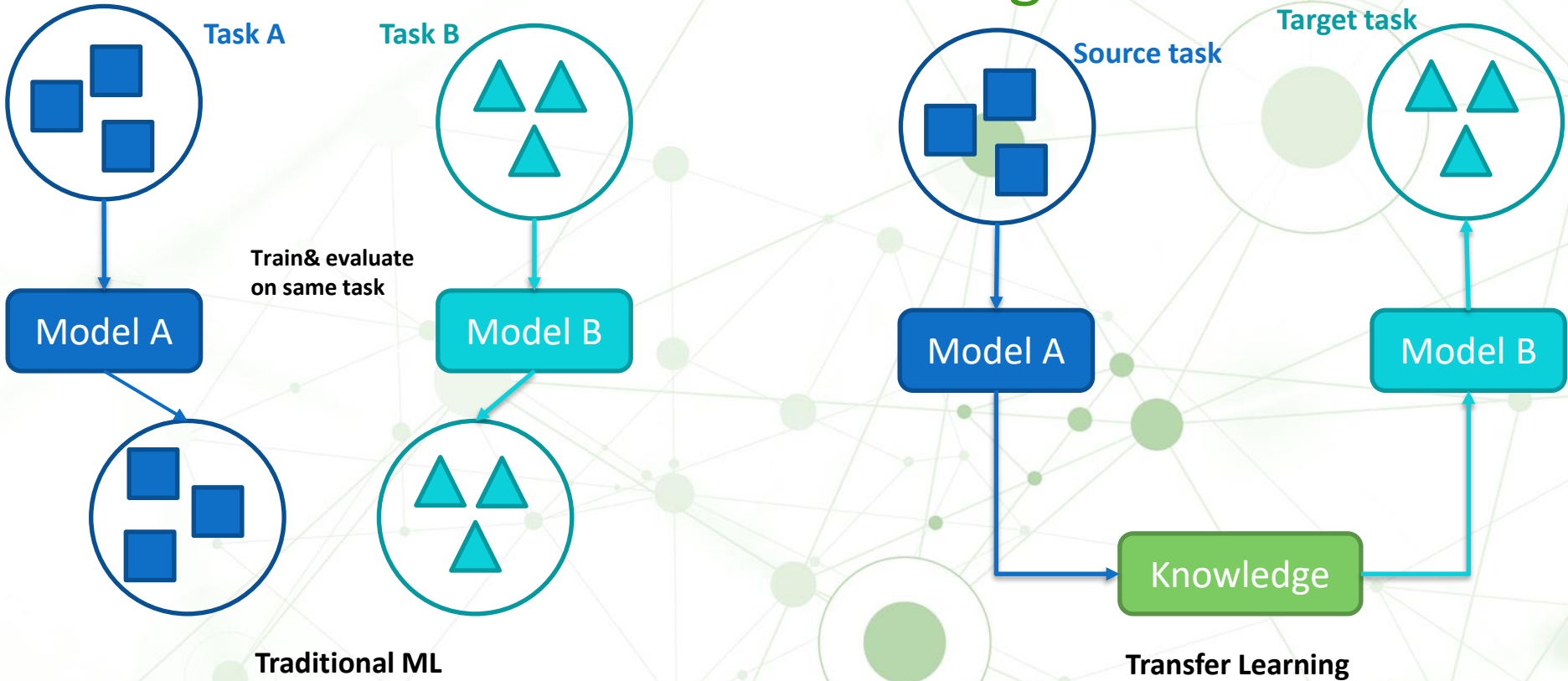


# Active Learning

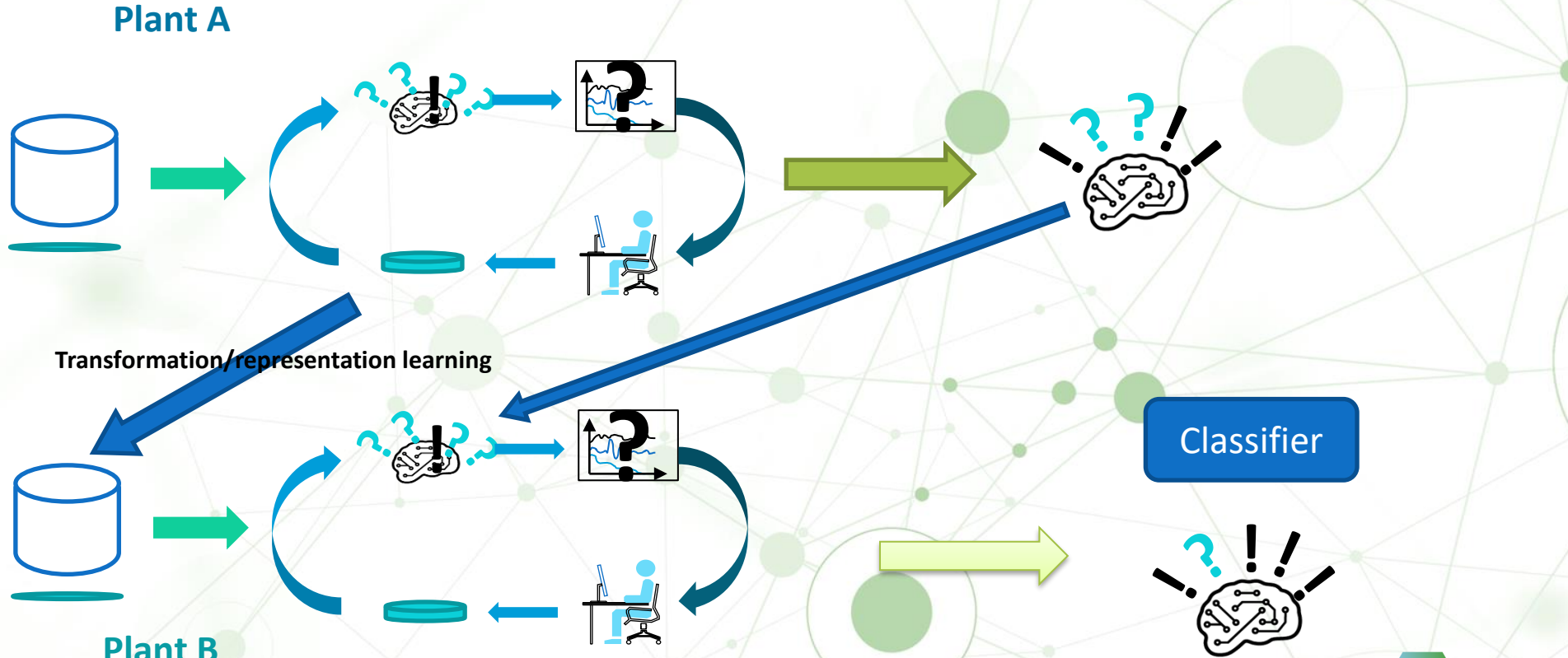




# Transfer Learning



# Active Learning & Transfer Learning



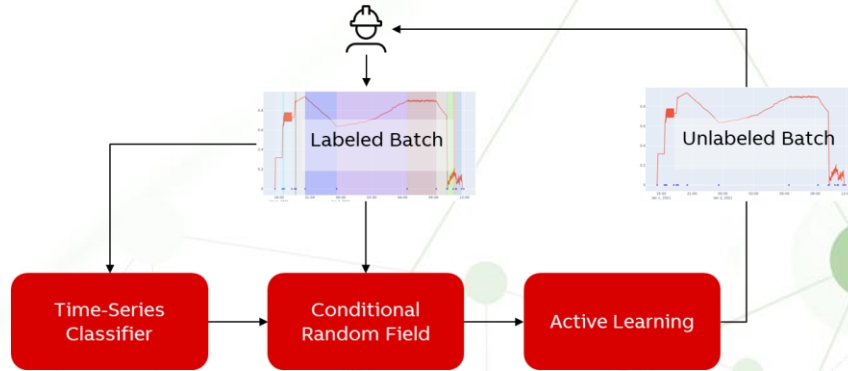
Plant B

25.05.2023

Approved for the INTERNAL use by the KEEN parties

10

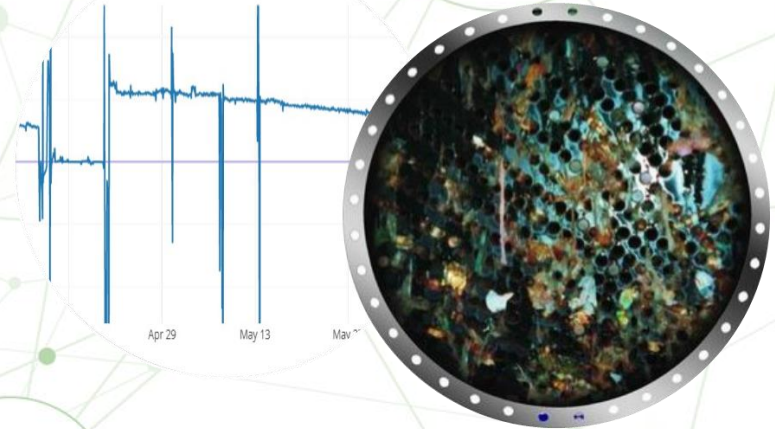




## Use cases

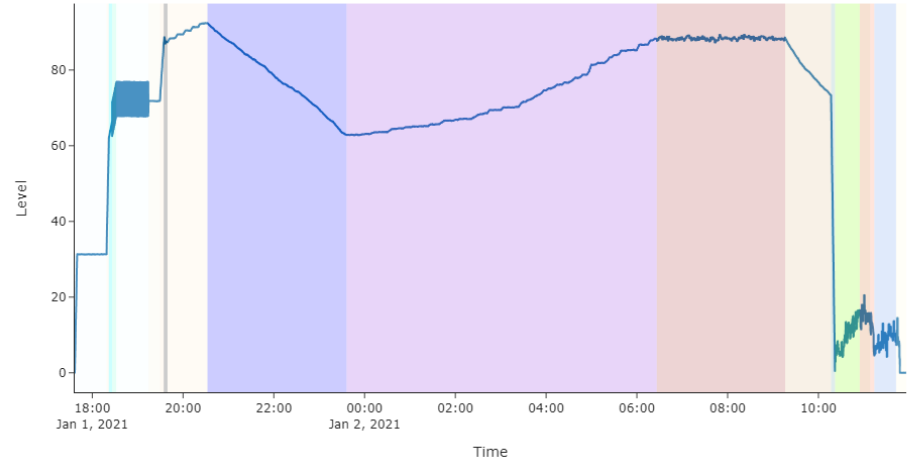
Batch labelling (Bayer)

Heat exchanger fouling cycle (Covestro)



# Use case: batch labelling

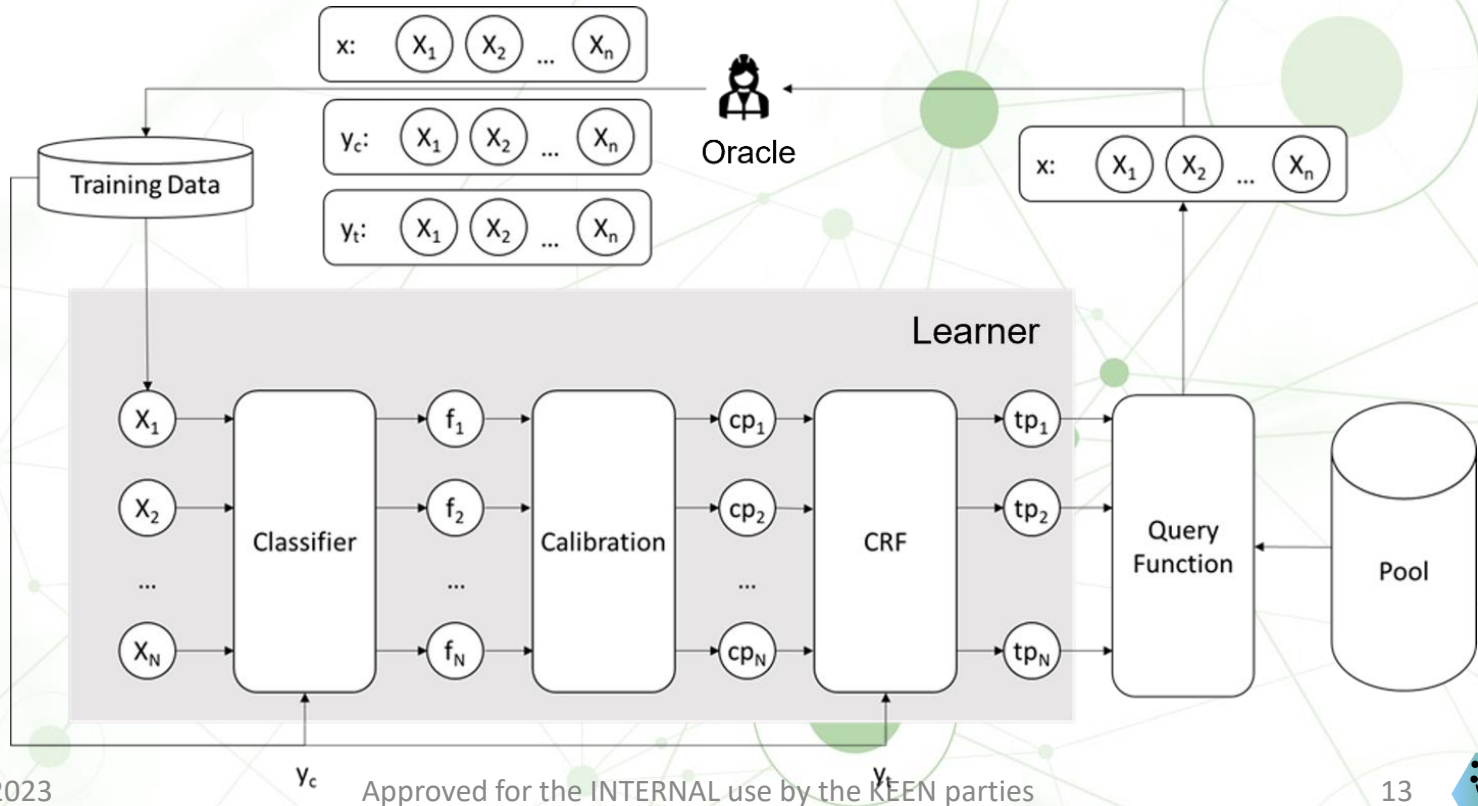
- Missing data labels in old and semi-automatic plants
- Method: sequence-sensitive timeseries classification
- Data
  - KEEN open dataset with multiple benchmark cycles[1]
  - Historical process data (confidential)



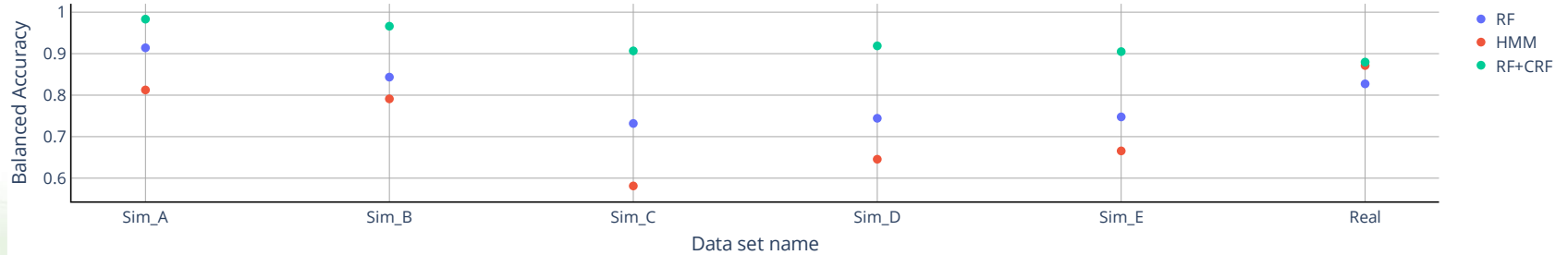
Level measurement of example batch from simulation

[1] <https://doi.org/10.57826/KEEN/ODU6MA>

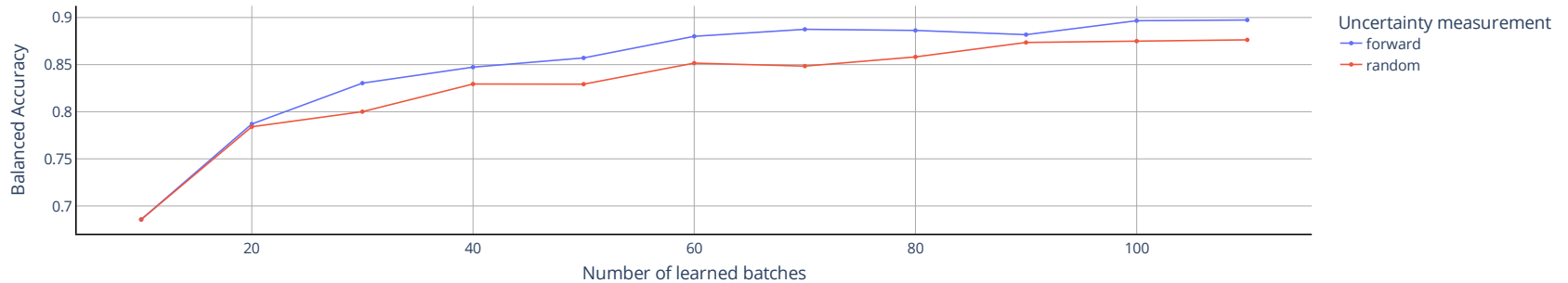
# Combining graphical model and active learning



# Numerical Experiment



Achieved Balanced Accuracy during Active Learning, average of 15 runs



# Use case: Heat Exchanger Fouling Cycle

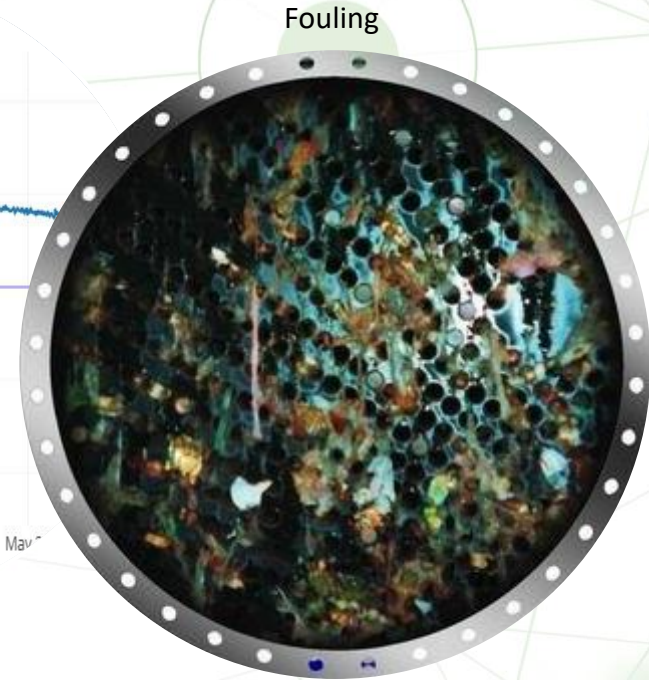
Heat exchanger fouling is a very common problem in the industry

For analysis and root cause determination it is important to analyse the segments between cleaning – “fouling cycles”

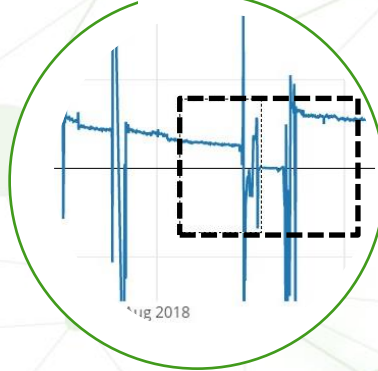
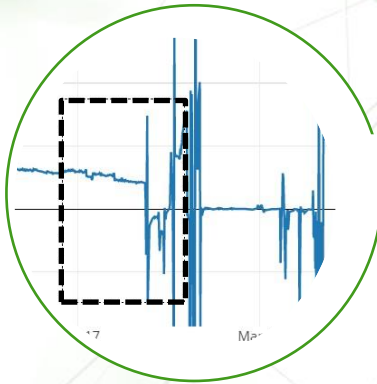
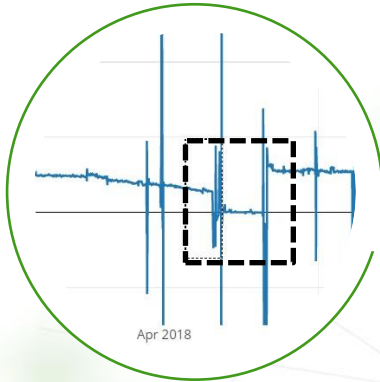
Two challenges exist to determine those segments efficiently at scale:

1. High oscillation and intermediate shutdowns (without actual cleaning taking place) rule out the use of “rule-based” segmentation
2. Operators register cleaning, but in a non-standardized way

Assess the use of the Active Learning ML algorithm to segment our data and perform analytics at scale.



# Use case: Heat Exchanger Fouling Cycle



## Initial sample selection

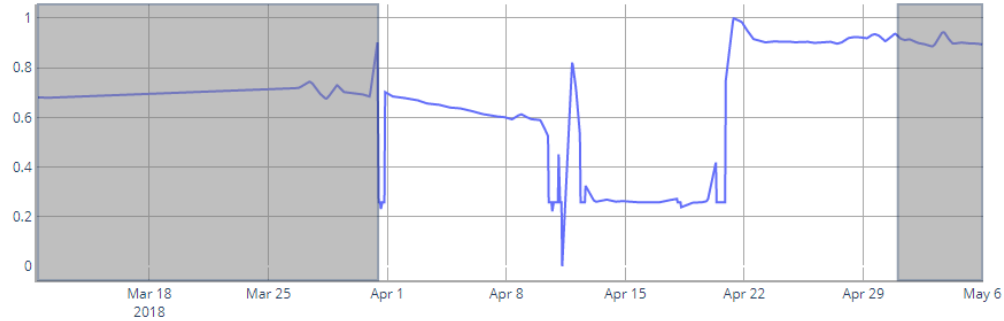
- Initial samples and segments are chosen to include a few days of operational activities before and after the actual potential cleaning sample
- Note that the samples are selected based only on the **Heat Transfer Coefficient**, yet the **Active Learning Model could also include other HE attributes**
- Data within the potential segments are scaled between 0 and 1.



# Use case: Heat Exchanger Fouling Cycle

Please, provide label for the current samplemodel prediction is **Cleaning (90%)**, Not Cleaning (9%)

Sample to Label



Model is trained on 28 elements with 6 remaining. Sofar, 26 labels were manually added: Not Cleaning (13), Cleaning (13), ignore (0)

Cleaning

Not Cleaning

ignore

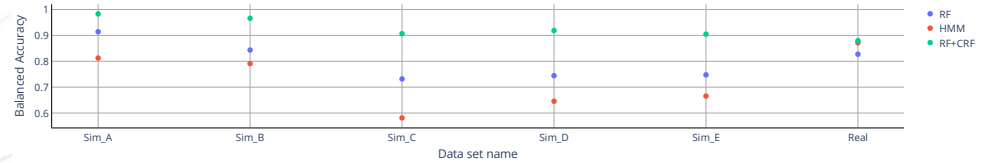
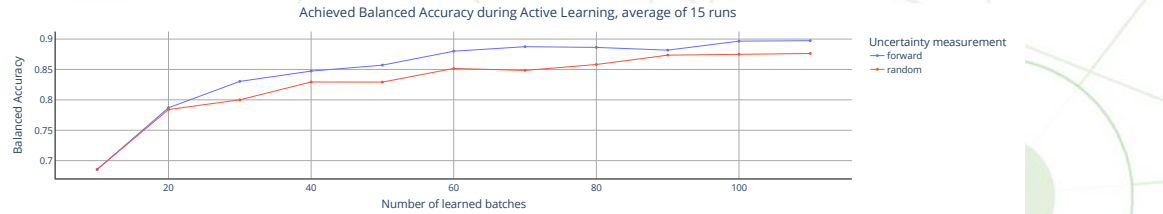
```
2023-05-15 10:01:12,150 - [INFO] Last provided label: Cleaning
2023-05-15 10:01:12,040 - [INFO] Updating Preview
2023-05-15 10:01:12,039 - [INFO] Next candidate: Segment(start=Timestamp('2018-03-31 11:00:00'), end=Timestamp('2018-05-01 01:00:00'),
lookup_key=None)
2023-05-15 10:01:12,034 - [INFO] Searching for next candidate
2023-05-15 10:01:12,032 - [INFO] Loading trainable pool
2023-05-15 10:01:12,031 - [INFO] Finished re-training/updating
2023-05-15 10:01:12,013 - [INFO] Start re-training/updating
```

## Active Learning Loop

- Yet iterating through 10 more potential segments yields satisfying probabilities of 90%.

## Scalability

- Application of the data model to other heat exchangers needs to be further evaluated.



# Conclusion

Promising technologies, difficult to research

# Conclusion & Outlook

- Active Learning
  - Exploit domain knowledge
  - New/improved economics
  - Expert-in-the-loop
- Transfer learning
  - Persistent process knowledge management
- True value unfolds in an interactive environment
  - Emulated oracles
  - Offline trial with KEEN members
- Outlook
  - Integration into analytics tooling
  - Simulation models (pre-training)
  - Benchmark data

# Questions?



# Comments!